Louisiana Housing Corporation

The following resolution was offered by Board Member John A. Berthelot and seconded by Board Member Willie Rack:

RESOLUTION

Approving and accepting the Louisiana Weatherization Assistance Program Year 2020 State Plan (attached as “Exhibit A”) and providing for other matters in connection therewith.

WHEREAS, pursuant to the provisions of Chapter 3-G of Title 40 of the Louisiana Revised Statutes of 1950 (R.S. 40:600.86 et seq.), as amended, the Louisiana Housing Corporation (“LHC”) is granted the power to effectuate the Department of Energy Weatherization Assistance Program (“WAP”) for the State of Louisiana; and

WHEREAS, the Department of Energy Program Year 2020 Funding Opportunity Announcement entitled “WAP-ALRD-2020 (Administrative and Legal Requirements Document) CFDA #81.042 requires the LHC submit an annual State Plan for the implementation of the WAP program; and

WHEREAS, the State Plan was presented for review and comment to the Policy Advisory Council; and

WHEREAS, the State Plan was published for review and comment in a public hearing held on May 1, 2020.

NOW THEREFORE, BE IT RESOLVED by the Board of Directors of the Louisiana Housing Corporation (the “Board”), acting as the governing authority of said Corporation, that:

SECTION 1. The Louisiana Weatherization Assistance Program Year 2020 State Plan (attached as “Exhibit A”) is hereby approved and accepted.

SECTION 2. Staff and counsel are authorized and directed to prepare such documents and agreements as may be necessary to implement the Louisiana WAP Program Year 2020 State Plan.
SECTION 3. Staff and counsel are hereby authorized, directed, and empowered with the ability to create, change, amend, and revise any documents, agreements, and/or commitments as may be necessary to implement the Louisiana WAP Program Year 2020 State Plan, the terms of which are to be consistent with the provisions of this resolution.

SECTION 4. The Chairman, Vice Chairman, and/or Executive Director of the Corporation are hereby authorized, empowered, and directed to execute any forms and/or documents required to be executed, the terms of which are to be consistent with the provisions of this resolution.

This resolution having been submitted to a vote, the vote thereon was as follows:


NAYS: None.

ABSTAIN: None.

ABSENT: Louisiana State Treasurer John M. Schroder, Larry Ferdinand, Gillis R. Windham.

And the resolution was declared adopted on this, the 6th day of May 2020.

[Signatures]
Chairman

[Signature]
Secretary
STATE OF LOUISIANA

PARISH OF EAST BATON ROUGE

I, the undersigned Secretary of the Board of Directors of the Louisiana Housing Corporation, do hereby certify that the foregoing two (2) pages constitute a true and correct copy of a resolution entitled, “Approving and accepting the Louisiana Weatherization Assistance Program Year 2020 State Plan (attached as ‘Exhibit A’) and providing for other matters in connection therewith.”

IN FAITH WHEREOF, witness my official signature and the impress of the official seal of the Corporation on this, the 6th day of May 2020.

[Signature]
Secretary

(SEAL)
WEATHERIZATION ASSISTANCE PROGRAM (WAP)

State of Louisiana
2020 Proposed State Plan

WAP-ALRD-2020 (Administrative and Legal Requirements Document)

CFDA #81.042
TABLE OF CONTENTS

I. EXECUTIVE SUMMARY

II. APPLICATION FOR FEDERAL ASSISTANCE - STANDARD FORM 424

III. BUDGET
   III.1 SF 424A Budget
   III.2 Budget Justification

IV. ANNUAL FILE
   IV.1 Subgrantees
   IV.2 Production Schedule
   IV.3 Energy Savings
   IV.4 DOE-Funded Leveraging Activities
   IV.5 Policy Advisory Council
   IV.6 Hearings and Transcripts
   IV.7 Miscellaneous
   IV.8 Subgrantee Information

V. MASTER FILE
   V.1 Eligibility
      V.1.1 Approach to Determining Client Eligibility
      V.1.2 Approach to Determining Building Eligibility
      V.1.3 Definition of Children
V.1.4 Approach with Tribal Organizations

V.2 Selection of Areas to Be Served

V.3 Priorities

V.4 Climatic Conditions

V.5 Type of Weatherization Work to Be Done
    V.5.1 Technical Guides and Materials
    V.5.2 Energy Audit Procedures
    V.5.3 Final Inspection

V.6 Weatherization Analysis of Effectiveness

V.7 Health and Safety

V.8 Program Management
    V.8.1 Overview and Organization
    V.8.2 Administrative Expenditure Limits
    V.8.3 Monitoring Activities
    V.8.4 Training and Technical Assistance Approach and Activities

V.9 Energy Crisis and Disaster Plan
EXECUTIVE SUMMARY

The State of Louisiana Weatherization Assistance Program Proposed State Plan for Program Year 2020 serves as Louisiana's application to the U.S. Department of Energy (USDOE) for Weatherization Assistance Program funding.

Weatherization Assistance Program Fiscal Year 2020 Allocation is $2,082,825.

The purpose of the Weatherization Assistance Program (WAP) is to increase the energy efficiency of dwellings owned or occupied by low-income persons, reduce their total residential expenditures, and improve their health and safety. The priority population for the Weatherization Assistance Program includes persons who are particularly vulnerable such as the elderly, persons with disabilities, families with children, high residential energy users, and low-income households with high-energy burdens.

The Louisiana Housing Corporation’s (LHC) mission is to assure that every Louisiana resident is granted an opportunity to obtain safe, affordable, energy efficient housing. Considering that high energy costs could significantly influence the affordability of housing and often impact the basic subsistence requirement of a household, LHC is committed to helping decrease the energy burden for many low-income households.

The Louisiana Weatherization Assistance Program will be implemented through contract agreements with local community action agencies and local governmental entities to deliver services to all sixty-four (64) parishes in Louisiana.
**APPLICATION FOR FEDERAL ASSISTANCE SF-424**

**Version 02**

1. Type of Submission:
   - [ ] Preapplication
   - [X] Application
   - [ ] Changed/Corrected Application

2. Type of Application:
   - [ ] New
   - [X] Continuation
   - [ ] Revision

3. Date Received: 12/11/2019

4. Applicant Identifier:
   - a. Fed Entity Identifier:
   - 5a. Federal Award Identifier:
   - 5b. DE-EE0007923

State Use Only:

5. Date Received by State:

7. State Application Identifier:

**APPLICANT INFORMATION:**

a. Legal Name: LOUISIANA HOUSING CORPORATION

b. Employer/Taxpayer Identification Number (EIN/TIN):
   - 48-4819102

c. Organizational DUNS:
   - 078424718

d. Address:
   - Street 1: 2415 QUAIL DRIVE
   - City: BATON ROUGE
   - County: EAST BATON ROUGE Parish
   - State: LA

Province:

Country: U.S.A.

Zip / Postal Code: 708055119

e. Organizational Unit:
   - Department / Division Name:
   - Energy Assistance Department

f. Name and contact information of person to be contacted on matters involving this application:
   - Prefix: Mrs
   - First Name: Lauren
   - Middle Name: Hartley
   - Last Name: Holmes
   - Suffix:

Title: Program Administrator

Organizational Affiliation: Louisiana Housing Corporation

Telephone Number: 2257541452

Fax Number: 2257541498

Email: hartley@lhh.la.gov
5. Type of Applicant:
   A State Government

10. Name of Federal Agency:
    U.S. Department of Energy

11. Catalog of Federal Domestic Assistance Number:
    81.042
    CFDA Title:
    Weatherization Assistance Program

12. Funding Opportunity Number:
    DE-WAP-000020
    Title:
    2020 Weatherization Assistance Program

14. Areas Affected by Project (Cities, Counties, States, etc.):
    64 Parishes in Louisiana

15. Descriptive Title of Applicant’s Project:
    Weatherization Assistance Program (WAP)
APPLICATION FOR FEDERAL ASSISTANCE SF-424

16. Congressional District Of:
   a. Applicant: Louisiana Congressional District 05.
   b. Program/Project: LA-Statewide

17. Proposed Project:
   a. Start Date: 07/01/2020
   b. End Date: 06/30/2021

18. Estimated Funding ($):
   a. Federal 2,082,825.00
   b. Applicant 0.00
   c. State 0.00
   d. Local 0.00
   e. Other 0.00
   f. Program Income 0.00
   g. TOTAL 2,082,825.00

19. Is Application subject to Review By State Under Executive Order 13772 Process?:
   a. This application was made available to the State under the Executive Order 13772 Process for review
   b. Program is subject to E.O. 12372 but has not been selected by the State for review
   X c. Program is not covered by E.O. 12372

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation)
   No

21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the
    statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances**
    and agree to
    X I AGREE

   ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or
     agency.

Authorized Representative:

First Name: Edselle
Middle Name: Keith
Last Name: Cunningham
Suffix: Jr.
Title: Executive Director
Telephone Number: 225/5287200
Fax Number:
Email: kunningham@lno.la.gov

Signature of Authorized Representative: [Signature]
Signed Electronically: [Signature]
Date Signed: [Date]
# BUDGET INFORMATION - Non-Construction Programs

| 1. Program/Project Identification No. | EE0007923 |
| 2. Program/Project Title | Weatherization Assistance Program |
| 3. Name and Address | LOUISIANA HOUSING CORPORATION |
| | 2415 QUAIL DRIVE |
| | BATON ROUGE, LA 708080110 |
| 4. Program/Project Start Date | 07/01/2020 |
| 5. Completion Date | 06/30/2021 |

## SECTION A - BUDGET SUMMARY

<table>
<thead>
<tr>
<th>Grant Program Function or Activity (a)</th>
<th>Federal Catalog No. (b)</th>
<th>Estimated Unobligated Funds</th>
<th>New or Revised Budget</th>
</tr>
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<tr>
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<tr>
<td>4.</td>
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## SECTION B - BUDGET CATEGORIES

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<th>6. Object Class Categories</th>
<th>Grant Program Function or Activity (1) GRANTEE ADMINISTRATION</th>
<th>(2) SUBGRANTEE ADMINISTRATION</th>
<th>(3) GRANTEE T&amp;A</th>
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<td>$0.00</td>
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<tr>
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<td>$104,141.00</td>
<td>$178,255.00</td>
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## BUDGET INFORMATION - Non-Construction Programs

### 1. Program/Project Identification No.
EE0007923

### 2. Program/Project Title
Weatherization Assistance Program

### 3. Name and Address
LOUISIANA HOUSING CORPORATION  
2415 QUAIL DRIVE  
BATON ROUGE, LA 708080110

### 4. Program/Project Start Date
07/01/2020

### 5. Completion Date
06/30/2021

### SECTION A - BUDGET SUMMARY

<table>
<thead>
<tr>
<th>Grant Program Function or Activity (a)</th>
<th>Federal Catalog No. (b)</th>
<th>Estimated Unobligated Funds</th>
<th>New or Revised Budget</th>
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<td>Non-Federal (d)</td>
</tr>
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</table>

### 5. TOTAL

|                                        |                         | $ 0.00                      | $ 0.00                | $ 2,082,825.00 | $ 0.00          | $ 2,082,825.00 |

### SECTION B - BUDGET CATEGORIES

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<th>6. Object Class Categories</th>
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<th>FINANCIAL AUDITS</th>
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<td>b. Fringe Benefits</td>
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<td>$ 64,466.00</td>
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<tr>
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<td>c. Travel</td>
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<td></td>
<td>d. Equipment</td>
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<td>$ 6,000.00</td>
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</tr>
<tr>
<td></td>
<td>g. Construction</td>
<td>$ 0.00</td>
<td>$ 0.00</td>
<td>$ 0.00</td>
<td>$ 0.00</td>
<td>$ 0.00</td>
</tr>
<tr>
<td></td>
<td>h. Other Direct Costs</td>
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<td>$ 0.00</td>
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<td>$ 163.00</td>
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<td></td>
<td>i. Total Direct Charges</td>
<td>$ 1,313,002.00</td>
<td>$ 196,486.00</td>
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<td>$ 6,000.00</td>
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<td></td>
<td>j. Indirect Costs</td>
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<td>$ 0.00</td>
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<td>$ 0.00</td>
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<tr>
<td></td>
<td>k. Totals</td>
<td>$ 1,313,002.00</td>
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<td>$ 6,000.00</td>
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Authorized for Local Reproduction

Standard Form 424A (Rev. 7-97)

Prepared by OMB Circular A-102
## BUDGET INFORMATION - Non-Construction Programs

1. Program/Project Identification No.  
   EE0007923

2. Program/Project Title  
   Weatherization Assistance Program

3. Name and Address  
   LOUISIANA HOUSING CORPORATION  
   2415 QUAIL DRIVE  
   BATON ROUGE, LA 708080110

4. Program/Project Start Date  
   07/01/2020

5. Completion Date  
   06/30/2021

### SECTION A - BUDGET SUMMARY

<table>
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<tr>
<th>Grant Program Function or Activity (a)</th>
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<th>Estimated Unobligated Funds</th>
<th>New or Revised Budget</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td>Federal (c)</td>
<td>Non-Federal (d)</td>
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<tr>
<td>1.</td>
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<td>2.</td>
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<td>3.</td>
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<td>4.</td>
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<td>5. TOTAL</td>
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### SECTION B - BUDGET CATEGORIES

<table>
<thead>
<tr>
<th>6. Object Class Categories</th>
<th>Grant Program, Function or Activity</th>
<th>Total (5)</th>
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</thead>
<tbody>
<tr>
<td>(1) VEHICLES AND EQUIPMENT</td>
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</tr>
<tr>
<td>b. Fringe Benefits</td>
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</tr>
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<td>c. Travel</td>
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<tr>
<td>d. Equipment</td>
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<tr>
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<td>m. Total</td>
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<tr>
<td>n. Other Direct Costs</td>
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<td>p. Indirect Costs</td>
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<td>q. Total</td>
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<tr>
<td>r. Program Income</td>
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<td></td>
</tr>
<tr>
<td>s. Total</td>
<td>$ 0.00</td>
<td></td>
</tr>
</tbody>
</table>
1. **PERSONNEL** - Prime Applicant only (all other participant costs are listed in 6 below and form SF-242A, Section B).

Positions to be supported under the proposed award and brief description of the duties of professionals:

<table>
<thead>
<tr>
<th>Position</th>
<th>Description of Duties of Professionals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounting Staff(4)</td>
<td>Process payments, grants management and federal reporting.</td>
</tr>
<tr>
<td>Program Administrator</td>
<td>Management and oversight of a Corporation Programmatic Division. Responsible for policy, departmental budgets, program strategy/evaluation, Board liaison, etc. Serves currently as the federal liaison for programmatic functions. Oversees the functions of the Corporation's Training Center and operations of the Corporation's office location. 2% of the salary will be paid from WAP T&amp;TA for sub-grantee training.</td>
</tr>
<tr>
<td>Program Manager</td>
<td>Overall management of the statewide WAP, budgetary matters, policy making, and preparation of federal reports, grant application, and supervises staff. 2% of salary will come from T&amp;TA for sub-grantee training.</td>
</tr>
<tr>
<td>Administrative Assistant</td>
<td>Scans and submits monthly invoices and budget revisions for submission to Accounting, distributes and tracks WAP contracts, maintains WAP vehicle logs, and duties as assigned.</td>
</tr>
<tr>
<td>Fiscal / Administrative Monitor</td>
<td>Assist in policy making and preparation of federal reports. Review audits, review requests for payment, and track expenditures. Monitor and provide technical and training assistance to contractors and sub-grantees. Conducts onsite monitoring and desk monitoring reviews. 50% of the Program Monitor's salary will be paid from WAP T&amp;TA.</td>
</tr>
<tr>
<td>Technical Coordinator / Monitor</td>
<td>As the technical/field monitor this individual possesses the following Six Building Performance Institute Certifications: Quality Control Inspector, Building Analyst, Envelope Professional, Healthy Home Auditor, Infiltration Duct Leakage, and Energy Auditor. Other certifications held by this individual are: US EPA RRP Lead Safe Renovator, DOE Health and Safety Class certification and proctor, and OSHA 10. This individual is responsible for ensuring the curriculum development for the LHC Weatherization Assistance Program. Monitors the Student and Federal Programs and the Building Performance Institute (BPI) on Weatherization field training and class curriculum. This person is a BPI proctor and occasional trainer for the LHC Weatherization Assistance Program. As the Technical Coordinator, this individual will assume continued coordination of LHC policies and procedures to the subgrantees such as QC, IREC, NIAT and MHEA and ASHRAE 62.2 as deemed necessary. Conducts on-site monitoring to ensure subgrantees comply with the workmanship of the units are in compliance with DOE, and State regulations and guidelines pertaining to the WAP program. This includes verifying OCI inspections, quality of the Weatherization work and assessing the energy efficiency of the units. 50% of the Technical Coordinator's salary will be paid from WAP T&amp;TA. Other sources, including LIHEAP, will fund the remaining salary.</td>
</tr>
</tbody>
</table>

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04/20/2020  
Page 1 of 6
Chief Operating Officer

Management and oversight of all the Programmatic Divisions within the Corporation. Principal assistant to the corporation’s Executive Director.

Program Manager

Back-Up to Primary Program Manager - Cross trained for when the Primary Program Manager is out or on leave - Responsible for another program. Grantee Admin only.

Training Coordinator

Responsible for RFP process and coordination of WAP Training. This position will be working towards Building Performance Institute Home Energy Professional Certifications and is responsible for ensuring the curriculum development for the LHC Weatherization Assistance Program align with the Department of Energy (DOE) and the Building Performance Institute (BPI) on Weatherization field training and class curriculum. As the Training Coordinator, this individual will assure continued coordination of LHC policies is provided to the subcontractors such as QCI, EA, NEAT/MHEA, and ASHRAE 90.1 as deemed necessary. Conducts occasional on-site monitoring to ensure subgrantee implementation of training and re-assess training needs pertaining to the WAP program. This includes verifying QCI inspections, quality of the Weatherization work and accessing the energy efficiency of the unit. 25% of the Training Coordinator’s salary will be paid from WAP T&TA and 15% from Admin. Other sources, including LIHEAP, will fund the remaining salary.

Technical Quality Control Monitor

As the Technical/Field Quality Control Monitor, this individual possesses certifications through the International Code Council including ICC Residential Building Inspector, ICC Residential Energy Inspector/Plans Examiner, and ICC Accessibility Inspector/Plans Examiner. They also hold an Intermediate Weatherization Fundamentals certification from an IREC accredited training program that aligns with the titles for Crew Leader, Energy Auditor, and Quality Control Inspector. This plan year this individual will be attending trainings to obtain the Home Energy Professional Certification including Quality Control Inspector, Energy Auditor, Crew Leader, and RetroFit Installer. This individual is responsible for performing quality control inspections of the technical monitoring performed by the Grantee and will assure coordination of LHC policies as provided to the subcontractors such as QCI, EA, NEAT/MHEA and ASHRAE 90.1 as deemed necessary. Conducts on-site monitoring to ensure subgrantee files as well as the workmanship of the units are in compliance with DOE, and State regulations and guidelines pertaining to the WAP program. This includes verifying QCI inspections, quality of the Weatherization work and accessing the energy efficiency of the unit. The Technical Quality Control Monitor’s salary will be paid from WAP T&TA. Other Grantee program sources will fund the remaining salary.

Direct Personnel Compensation:

<table>
<thead>
<tr>
<th>Position</th>
<th>Salary/Rate</th>
<th>Time</th>
<th>Direct Pay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounting Staff (4)</td>
<td>$45,000.00</td>
<td>5.9992 % FT</td>
<td>$4,499.64</td>
</tr>
<tr>
<td>Program Administrator</td>
<td>$95,693.00</td>
<td>26.9996 % FT</td>
<td>$25,782.73</td>
</tr>
<tr>
<td>Program Manager</td>
<td>$71,615.00</td>
<td>26.9995 % FT</td>
<td>$19,315.69</td>
</tr>
<tr>
<td>Administrative Assistant</td>
<td>$33,104.00</td>
<td>4.9991 % FT</td>
<td>$1,934.85</td>
</tr>
<tr>
<td>Fiscal / Administrative Monitor</td>
<td>$46,904.00</td>
<td>68.4993 % FT</td>
<td>$32,128.91</td>
</tr>
</tbody>
</table>

04/20/2020
2. FRINGE BENEFITS

a. Are the fringe cost rates approved by a Federal Agency? If so, identify the agency and date of latest rate agreement or audit below, and attach a copy of the rate agreement to the application.

b. If a. above does not apply, please use this box (or an attachment) to further explain how your total fringe benefits costs were calculated. Your calculations should identify all rates used, along with the base they were applied to (and how the base was derived), and a total for each (along with grand total). If there is an established computation methodology approved for state-wide use, please provide a copy. Also, please fill out the table below with the Fringe Benefits Calculations.

Fringe benefits consist of group life insurance, medical insurance, Medicare and retirement, approximately at a 43.74% rate of salary. Fringe rate breakdown: Retirement 31.30%, Medical Insurance 9.49%, Life Insurance 1.5%, and Medicare 1.45%.

### Fringe Benefits Calculations

<table>
<thead>
<tr>
<th>Position</th>
<th>Direct Pay</th>
<th>Rate</th>
<th>Benefits</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounting Staff (4)</td>
<td>$4,499.64</td>
<td>43.740%</td>
<td>$1,968.14</td>
</tr>
<tr>
<td>Program Administrator</td>
<td>$25,782.73</td>
<td>43.740%</td>
<td>$11,277.37</td>
</tr>
<tr>
<td>Program Manager</td>
<td>$19,335.69</td>
<td>43.740%</td>
<td>$8,457.43</td>
</tr>
<tr>
<td>Administrative Assistant</td>
<td>$1,954.85</td>
<td>43.740%</td>
<td>$855.05</td>
</tr>
<tr>
<td>Fiscal / Administrative Monitor</td>
<td>$32,128.91</td>
<td>43.740%</td>
<td>$14,053.19</td>
</tr>
<tr>
<td>Technical Coordinator / Monitor</td>
<td>$34,871.15</td>
<td>43.740%</td>
<td>$15,252.64</td>
</tr>
<tr>
<td>Chief Operating Officer</td>
<td>$7,249.71</td>
<td>43.740%</td>
<td>$3,171.02</td>
</tr>
<tr>
<td>Program Manager</td>
<td>$3,044.21</td>
<td>43.740%</td>
<td>$1,331.54</td>
</tr>
<tr>
<td>Training Coordinator</td>
<td>$13,999.65</td>
<td>43.740%</td>
<td>$6,123.45</td>
</tr>
<tr>
<td>Technical Quality Control Monitor</td>
<td>$4,518.44</td>
<td>43.740%</td>
<td>$1,976.37</td>
</tr>
</tbody>
</table>

Fringe Benefits Total: $64,466.50

3. TRAVEL

a. Please provide the purpose of travel, such as professional conference(s), DOE sponsored meeting(s), project management meeting, etc. If there is any foreign travel, please identify.

<table>
<thead>
<tr>
<th>Purpose of Trip</th>
<th>Number of Trips</th>
<th>Cost Per Trip</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>One-of-State travel for 1-3 participants to attend three (3) conferences (including NASCISP and HPC) and training requirements for contractor certifications.</td>
<td>9</td>
<td>$2,885.00</td>
<td>$24,165.00</td>
</tr>
<tr>
<td>In-State Travel: Monitoring for bi-annual programmatic and technical field monitoring by two different individuals.</td>
<td>24</td>
<td>$800.00</td>
<td>$19,200.00</td>
</tr>
</tbody>
</table>

Travel Total: $21,365.00
b. Please provide the basis for estimating the costs; such as past trip costs, current quotations, Federal Travel Regulations, etc. All listed travel must be necessary for the performance of the award objectives.

<table>
<thead>
<tr>
<th>Organization travel policies and State Per Diems</th>
</tr>
</thead>
<tbody>
<tr>
<td>Out-of-State Travel - LHC</td>
</tr>
<tr>
<td>Trips/Nights/Days/Cost/Participants/Total</td>
</tr>
<tr>
<td>Lodging: $250</td>
</tr>
<tr>
<td>Meals: $72</td>
</tr>
<tr>
<td>Subsistence: $130</td>
</tr>
<tr>
<td>Registration: $700</td>
</tr>
<tr>
<td>Total: $2,865</td>
</tr>
<tr>
<td>In-State Travel - LHC</td>
</tr>
<tr>
<td>Visits/Nights/Days/Cost/Participants/Total</td>
</tr>
<tr>
<td>Lodging: $2,123</td>
</tr>
<tr>
<td>Meals: $2,085</td>
</tr>
</tbody>
</table>
| Minimum 4 Trips per 6 Agencies (2 Fiscal, 2 Technical) Total: $12,000.00 $500.00

4. **EQUIPMENT** - Equipment is generally defined as an item with an acquisition cost greater than $5,000 and a useful life expectancy of more than one year.

   a. List all proposed equipment below and briefly justify its need as it applies to the objectives of the award.

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Unit Cost</th>
<th>Number</th>
<th>Total Cost</th>
<th>Justification of Need</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

   b. Please provide a basis of cost such as vendor quotes, catalog prices, prior invoices, etc. and justify need. If the equipment is being proposed as Cost Share and was previously acquired, please provide the source and value of its contribution to the project and logical support for the estimated value shown. If it is new equipment which will retain a useful life upon completion of the project, provide logical support for the estimated value shown. Also, please indicate whether the equipment is being used for other projects or is 100% dedicated to the DOE project.

5. **SUPPLIES** - Supplies are generally defined as an item with an acquisition cost of $5,000 or less and a useful life expectancy of less than one year. Supplies are generally consumed during the project performance.

   a. List all proposed supplies below, the estimated cost, and briefly justify the need for the supplies as they apply to the objectives of the award. Note that all direct costs, including Supply items, may not be duplicative of supply costs included in the indirect pool that is the basis of the indirect rate applied for this project.

<table>
<thead>
<tr>
<th>General Category</th>
<th>Cost</th>
<th>Justification of Need</th>
</tr>
</thead>
<tbody>
<tr>
<td>Training Material Supplies</td>
<td>$85.00</td>
<td>Handouts, Manuals, Flash Drives, Binders for Sub-grantee Trainings</td>
</tr>
<tr>
<td>Unexpected Repairs/Supplies to Training Equipment</td>
<td>$45.00</td>
<td>Unexpected Repairs/Supplies to Weatherization Training</td>
</tr>
<tr>
<td>Vehicle Maintenance for Monitoring Vehicles Materials and Supplies Total</td>
<td>$60.00</td>
<td>Required State Inspections, Detailing, Tire Rotation, Oil Changes</td>
</tr>
</tbody>
</table>

   b. Please provide a basis of cost for each item listed above and justify need. Examples include vendor quotes, prior purchases of similar or like items, published price list, etc.
Hand-outs, Manuals, Flash-Drives, Binders for Sub-grantee Trainings
Vehicle Maintenance for Monitoring Vehicles (Inspections $15, Detailing $50, Tire Rotation $30, Oil Changes $50, etc.)
Unexpected Repairs/Supplies to Weatherization Training Equipment for Sub-grantee Training (i.e. Batteries)
All costs included in Supplies are properly segregated to ensure there are not duplicate charges.
Costs are allocated (19% DOE and 81% DHHS). All costs proposed are only being used in support of the WAP program.

6. CONTRACTS AND SUBGRANTS - Provide the following information for New proposed subrecipients and subcontractors.
For ongoing subcontractors and subrecipients, this information does not have to be restated here, if it is provided elsewhere in the application; under Name of Proposed Sub, indicate purpose of work and where additional information can be found (i.e. weatherization subgrants, Annual File section IV.1).

<table>
<thead>
<tr>
<th>Name of Proposed Sub</th>
<th>Total Cost</th>
<th>Basis of Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subgrantees (7) Listed in Annual File, Section IV.1</td>
<td>$1,800,429.00</td>
<td>Includes Subgrantee Administration, Subgrantee T/TA, Program Operations, Health and Safety, Vehicles and Equipment, Liability Insurance and Financial Audit.</td>
</tr>
<tr>
<td>Technical Training, (Vendors TBD)</td>
<td>$23,757.00</td>
<td>RFP to be released in Summer 2020 for HEP Certification: QCI, Energy Auditor, Crew Leader, and Retrofit Installer Technician. RFP will also include additional NEAT/MHEA and OSHA and possible RFP Lead Safe Contract Training may also include Building Analyst and IDT from Diversified Energy.</td>
</tr>
<tr>
<td>Hancock Energy Software</td>
<td>$10,260.00</td>
<td>Weatherization Monitoring and Financial Tracking Software Annual Maintenance and Helpdesk Support fees. Costs are shared with LIHEAP. Funding source (19% DOE / 81% LIHEAP).</td>
</tr>
</tbody>
</table>

Contracts and Subgrants Total $1,834,456.00

*For example, Competitive, Historical, Quote, Catalog

7. OTHER DIRECT COSTS - Other direct costs are direct cost items required for the project which do not fit clearly into other categories. These direct costs may not be duplicative of costs included in the indirect pool that is the basis of the indirect rate applied for this project. Examples are: conference fees, subscription costs, printing costs, etc.

   a. Please provide a General Description, Cost and Justification of Need.

<table>
<thead>
<tr>
<th>General Description</th>
<th>Cost</th>
<th>Justification of Need</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual BPI Training Center Renewal Fee</td>
<td>$95.00</td>
<td>Renewal is a requirement to continue to train QCI certified Subgrantees.</td>
</tr>
<tr>
<td>Annual BPI Proctor Renewal Fee</td>
<td>$68.00</td>
<td>Renewal is a requirement to continue to train QCI certified Subgrantees.</td>
</tr>
</tbody>
</table>

Other Direct Costs Total $163.00

   b. Please provide a basis of cost for each item listed above. Examples include vendor quotes, prior purchases of similar or like items, published price list, etc.

   Costs are based on 2019 expenses.

   All costs included in Other Direct Costs are properly segregated to ensure there are not duplicate charges. Costs are allocated (19% DOE and 81% DHHS). All costs proposed are only being used in support of the WAP program.

8. INDIRECT COSTS

   a. Are the indirect cost rates approved by a Federal agency? If so, identify the agency and date of latest rate agreement or audit and provide a copy of the rate agreement.
b. If the above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations separately.

LHC will not be requesting reimbursement for Indirect Costs from DOE.

The name and phone number of the individual responsible for negotiating the State’s indirect cost rates.

Name: Carlos Dickerson, CFO
Phone Number: 2257638820

Indirect costs calculations:

<table>
<thead>
<tr>
<th>Indirect Cost Account</th>
<th>Direct Total</th>
<th>Indirect Rate</th>
<th>Total Indirect</th>
</tr>
</thead>
<tbody>
<tr>
<td>Indirect Support</td>
<td>$0.00</td>
<td>0.000 %</td>
<td>$0.00</td>
</tr>
</tbody>
</table>
IV.1 Subgrantees

<table>
<thead>
<tr>
<th>Subgrantee (City)</th>
<th>Planned Funds/Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Caddo Community Action Agency, Inc. (Shreveport)</td>
<td>$178,620.00</td>
</tr>
<tr>
<td>DeSoto Parish Police Jury/OCFS (Mansfield)</td>
<td>$159,287.00</td>
</tr>
<tr>
<td>LaSalle Community Action Asn., Inc. (Sicily Island)</td>
<td>$206,874.00</td>
</tr>
<tr>
<td>Quad Area Community Action Agency, Inc. (Hammond)</td>
<td>$788,399.00</td>
</tr>
<tr>
<td>St. Mary Community Action Agency (Franklin)</td>
<td>$419,813.00</td>
</tr>
<tr>
<td>Terrebonne Parish Consolidated Gov./DHHS (Houma)</td>
<td>$47,436.00</td>
</tr>
<tr>
<td><strong>Total:</strong></td>
<td><strong>$1,800,429.00</strong></td>
</tr>
</tbody>
</table>

IV.2 WAP Production Schedule

<table>
<thead>
<tr>
<th>Weatherization Plans</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Units (excluding reweatherized)</td>
<td>182</td>
</tr>
<tr>
<td>Reweatherized Units</td>
<td>0</td>
</tr>
</tbody>
</table>

Note: Planned units by quarter or category are no longer required, no information required for persons.

Average Unit Costs, Units subject to DOE Project Rules

<table>
<thead>
<tr>
<th>VEHICLE &amp; EQUIPMENT AVERAGE COST PER DWELLING UNIT (DOE RULES)</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Total Vehicles &amp; Equipment ($5,000 or more) Budget</td>
<td>182</td>
</tr>
<tr>
<td>B. Total Units Weatherized</td>
<td></td>
</tr>
<tr>
<td>C. Total Units Reweathered</td>
<td></td>
</tr>
<tr>
<td>D. Total Dwelling Units to be Weatherized and Reweathered (B + C)</td>
<td>182</td>
</tr>
<tr>
<td>E. Average Vehicles &amp; Equipment Acquisition Cost per Unit (A divided by D)</td>
<td>$439.56</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>AVERAGE COST PER DWELLING UNIT (DOE RULES)</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>F. Total Funds for Program Operations</td>
<td>1,313,002.00</td>
</tr>
<tr>
<td>G.DWelling Units to be Weatherized and Reweathered (from line D)</td>
<td>182</td>
</tr>
<tr>
<td>H. Average Program Operations Costs per Unit (F divided by G)</td>
<td>$7,214.20</td>
</tr>
<tr>
<td>J. Average Vehicles &amp; Equipment Acquisition Cost per Unit (from line F)</td>
<td>$439.56</td>
</tr>
<tr>
<td>J. Total Average Cost per Dwelling (H plus J)</td>
<td>$7,653.86</td>
</tr>
</tbody>
</table>

IV.3 Energy Savings

<p>| Method used to calculate savings: ☑ WAP algorithm ☐ Other (describe below) |
|-----------------------------|-----------------------------|</p>
<table>
<thead>
<tr>
<th>Units</th>
<th>Savings Calculator (M$)</th>
<th>Energy Savings</th>
</tr>
</thead>
<tbody>
<tr>
<td>This Year Estimate: 182</td>
<td>29.3</td>
<td>5533</td>
</tr>
<tr>
<td>Prior Year Estimate: 145</td>
<td>29.3</td>
<td>4248</td>
</tr>
<tr>
<td>Prior Year Actual: 123</td>
<td>29.3</td>
<td>3604</td>
</tr>
</tbody>
</table>
Method used to calculate savings description:

IV.4 DOE-Funded Leveraging Activities
LHC will not budget PY2020 DOE funds to conduct leveraging activities.

IV.5 Policy Advisory Council Members
☐ Check if an existing state council or commission serves in this category and add name below

<table>
<thead>
<tr>
<th>Type of organization</th>
<th>Organization Name</th>
<th>Contact Name</th>
<th>Phone</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other</td>
<td>AARP Louisiana / State Office</td>
<td>Ms. Emerald Dixon</td>
<td>9855704218</td>
<td><a href="mailto:emerald.dixon@hotmail.com">emerald.dixon@hotmail.com</a></td>
</tr>
<tr>
<td>Non-profit (not a financial institution)</td>
<td>Alliance for Affordable Energy</td>
<td>Logan Atkinson Burke</td>
<td>5042089761</td>
<td><a href="mailto:logan@affenergy.org">logan@affenergy.org</a></td>
</tr>
<tr>
<td>Utility</td>
<td>ATMOS Energy</td>
<td>Karl Weber, Manager, Public Affairs</td>
<td>5048944335</td>
<td><a href="mailto:karl_weber@atmosenergy.com">karl_weber@atmosenergy.com</a></td>
</tr>
<tr>
<td>Unit of Local Government</td>
<td>Calcasieu Parish Police Jury</td>
<td>Juanita Felton, Program Coordinator</td>
<td>33772140305015</td>
<td><a href="mailto:jfelton@cppi.net">jfelton@cppi.net</a></td>
</tr>
<tr>
<td>Utility</td>
<td>CLECO Power LLC</td>
<td>Vanda Willis, Credit/Collections Coordinator</td>
<td>3184847659</td>
<td><a href="mailto:vanda.willis@cleco.com">vanda.willis@cleco.com</a></td>
</tr>
<tr>
<td>Utility</td>
<td>Entergy Services, LLC</td>
<td>Liz Brister, Corporate Social Responsibility and Financial</td>
<td>6019692440</td>
<td><a href="mailto:ebrister@entergy.com">ebrister@entergy.com</a></td>
</tr>
<tr>
<td>Local agency</td>
<td>LaSalle Community Action Association, Inc.</td>
<td>Dorothy Oliver, Executive Director</td>
<td>3183894810</td>
<td><a href="mailto:dollyoliver.la@gmail.com">dollyoliver.la@gmail.com</a></td>
</tr>
<tr>
<td>Unit of State Government</td>
<td>Louisiana Public Service Commission</td>
<td>Donnie Marks, Utilities Administrator</td>
<td>2253421413</td>
<td><a href="mailto:dmarks@las.gov">dmarks@las.gov</a></td>
</tr>
<tr>
<td>Local agency</td>
<td>St. Mary Community Action Agency</td>
<td>Almeta J. Franklin, CEO</td>
<td>(337)828-5703</td>
<td><a href="mailto:afrankin333@aoa.com">afrankin333@aoa.com</a></td>
</tr>
</tbody>
</table>

IV.6 State Plan Hearings (Note: attach notes and transcripts to the SF-424)

<table>
<thead>
<tr>
<th>Date Held</th>
<th>Newspapers that publicized the hearings and the dates the notice ran</th>
</tr>
</thead>
<tbody>
<tr>
<td>05/01/2020</td>
<td>The Public Hearing Notice and Proposed Plan were posted on LHC's Website, ListServe, Facebook, and Twitter on 4/21/2020.</td>
</tr>
</tbody>
</table>

IV.7 Miscellaneous

- LHC reserves the right to redistribute funds within the grant period to actively manage the grant and move funds as necessary to fully expend the monies during the budget period and within DOE guidelines and DOE consultation.

- Contact information for the Recipient Business Officer:
U.S. Department of Energy
WEATHERIZATION ASSISTANCE PROGRAM (WAP)
WEATHERIZATION ANNUAL FILE WORKSHEET

(Grant Number: EE0007923, State: LA, Program Year: 2020)

Lauren Holmes | Housing Deputy Administrator
Louisiana Housing Corporation

Bartley@lhc.la.gov | www.lhc.la.gov
Desk: 225.754.1452 | Cell: 225.722.3848
1537 Industriplex Blvd., Baton Rouge, LA 70809
Twitter: @lahousing | Facebook: LouisianaHousingCorp

1. Contact information for the Recipient Principal Investigator:

Mitchel Chaussin | Housing Finance Manager
Louisiana Housing Corporation

mchaussin@lhc.la.gov | www.lhc.la.gov
Desk: 225.754.1485
1537 Industriplex Blvd., Baton Rouge, LA 70809
Twitter: @lahousing | Facebook: LouisianaHousingCorp

- LHC held a competitive application process for a permanent service provider for Allen, Beauregard, Cameron, Calcasieu, and Vermilion parishes in the 2nd quarter of the 2019 Program Year. Following a public comment period and public hearing held on January 10, 2020, St. Mary Community Action Agency was awarded the territory.

- 2019 ACSI Action Plan:

The 2019 American Customer Satisfaction Index (ACSI) has been an extremely useful tool in determining Louisiana’s approach to improving the Weatherization Program. The 2019 Louisiana Customer Satisfaction Index of 47 declined 9 points compared to the 2017 Louisiana Customer Satisfaction Index of 56. While Louisiana experienced a decline, the DOE WAP National Customer Satisfaction Index of 73 experienced an increase of 6 points from the 2017 Index of 67.

The Louisiana scores for drivers of satisfaction ranged from 33 to 70 compared to the 2017 range from 29 to 65, with Development of the WAP Plan scoring the lowest and Distribution of Funds scoring the highest compared to 2017 with Partnerships scoring the lowest and Monitoring and Corrective Action scoring the highest. While four of the seven drivers showed signs of improvement compared to 2017, most scores remain relatively low.

- Communication was again the driver with the highest impact and carried the most influence on satisfaction. With a decrease of 2 points from 2017’s 55 to 2019’s 53, Louisiana Communication was low and recommended as a priority focus for improvement. Recommended focus was on improving “clarity of communications” (down 12 points), “sufficiency of communication about WAP policies-regulations (up 6 points), and “usefulness of feedback about Work Plans-Performance-Monitoring” (down 10 points). While “frequency of communication” increased 14 points, LHC continues to strive towards increasing the needs in this category.

In Program Year 2018, LHC increased the frequency of the Policy Advisory Council (PAC) Meetings from annually to quarterly. The previous goals of the PAC were to review the state plans for any policy changes. These meetings have now evolved into ongoing programmatic and policy reviews. These meetings have also included a dial-in number to increase participation with our partners, Beginning with Program Year 2020, new PAC Members will be nominated and rotated every 2 years.

In Program Year 2018, LHC introduced the Subgrantee Quarterly Management Committee Call. This call includes an agenda developed by LHC with input from our Subgrantees to discuss potential policy changes, issues that have arisen in the field, new WPNs, funding, etc. These calls have been used to provide production updates on both the LHC and Subgrantee sides, to provide clarification where needed, suggestions for the State Plan, and for Subgrantees to discuss items that will benefit the entire network.

Louisiana has also continued distributing ongoing guidance to our Subgrantees and the public including Memorandums and Notices that are distributed via email and posted to the LHC Weatherization website. Notices and Guides that are specific to Louisiana are now issued in draft format for comments prior to final release. In Program Year 2019, Louisiana implemented a weekly Subgrantee Work Group to assist with updating the
U.S. Department of Energy
WEATHERIZATION ASSISTANCE PROGRAM (WAP)
WEATHERIZATION ANNUAL FILE WORKSHEET

(Grant Number: EE0007923, State: L.A., Program Year: 2020)

Louisiana Weatherization Programmatic Guide to be issued for the start of Program Year 2020.

LHC also continues to utilize the Hancock Energy Software (HES) which contains a bulletin available to all users. LHC continues to use the bulletin on a regular basis to update Subgrantees of upcoming dates, new guidance, and draft guidance that was sent out via email.

- Technical Assistance was again a high-impact area with a considerable amount of influence on overall satisfaction, experiencing a 9-point decrease in 2019. Recommended focus was on “clarity and consistency of assistance provided”. LHC agrees that technical assistance is one of the most needed areas of improvement within Louisiana.

During Program Year 2019, internal organizational changes occurred within LHC which shifted the Technical Assistance aspect of the Louisiana WAP under the Compliance and Construction Division of LHC. Members of the LHC Construction team are currently working towards earning their BPI Quality Control Inspector certifications and have accompanied the primary LHC Weatherization Technical Monitor on Subgrantee monitoring visits. Program Year 2019 also brought an unusual amount of turnover within the Subgrantee network at a WAP level. LHC continues to work on providing a more stable training schedule for these new WAP employees, which was underway when the COVID-19 pandemic occurred. LHC is now utilizing new training platforms and looking to carry these into Program Year 2020.

With the decrease in the number of Subgrantees, LHC increased the number of fiscal/programmatic and technical monitoring visits from once a year to twice a year. This allows for the Subgrantees to receive more dedicated one-on-one time with the LHC WAP team and less time away from the field/program to complete an LHC review all at once. LHC has also focused on NEAT/MHEA Energy Audit training over the course of Program Year 2019, as this was an area that Louisiana is well needed more training on. Louisiana is in the process of developing an Energy Audit Procedures Manual which will provide an additional reference statewide.

In Program Year 2019, Louisiana’s technical monitoring reports began including direct references to the Louisiana Standard Work Specification pages and other guidance materials, along with more descriptive instructions on what occurred and why it was incorrect for field staff review.

- Partnerships, a moderately impactful driver, showed an increase of 10 points; however, the improved score still offers room for improvement. While only 2 of the 4 Subgrantees who responded to the survey chose to respond to this category, Louisiana still recognizes the opportunity for improvement.

Louisiana has started looking for other funding opportunities which could be utilized in addition to the WAP program on a home. Louisiana has recently acquired a grant through the U.S. Department of Housing and Urban Development (HUD) for lead hazards removal. This is a three-year grant that Louisiana hopes to use collected weatherization data and our WAP network to assist with targeting homes that may have lead hazards.

With Program Year 2020, Louisiana is issuing LIHEAP Client Education funds to WAP Subgrantees for the first time to increase and expand energy efficiency education throughout the State. Louisiana is also expanding its outreach efforts, website, and community involvement. Louisiana is hoping to increase the awareness of Weatherization through events, such as Weatherization Day in October 2020 and will begin discussions with Subgrantees, post COVID-19, to start planning for Weatherization Day 2020 and their involvement.

- While Development of the WAP Plan is not a high or moderately impactful driver, Louisiana is still working to improve this score more incrementally than the 3 positive points that occurred between 2017 and 2019.

For Program Year 2019, the draft State Plan was issued to Subgrantees for feedback one day in advance of the notice for the public hearing with no response. For Program Year 2020, Louisiana attempted to improve this timeframe by requesting feedback on the State Plan during the February 2020 Subgrantee Quarterly Management Call. Louisiana also included a new policy of submitting vehicle and equipment needs by February 15 of each year for review with the State Plan.

Louisiana’s initial timeline was on track for completing the Program Year 2020 draft State Plan in mid-March 2020; however, delays related to vehicle and equipment requests and the COVID-19 pandemic occurred which again caused a timing issue with issuing the draft plan to the Subgrantees prior to the public hearing notice.

Louisiana continues to strive to offer opportunities and feedback for Subgrantees on the State Plans.

Policy Advisory Council (PAC)

Organization Name | Group Representing
--- | ---
AARP Louisiana | Electricians/Handymen
ATMOS Energy | Utility

Page 4 / 5
<table>
<thead>
<tr>
<th>Organization</th>
<th>Focus Area</th>
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<tr>
<td>Alliance for Affordable Energy</td>
<td>Low Income Families</td>
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<tr>
<td>CLEDDO Power, LLC</td>
<td>Utility</td>
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<tr>
<td>Calcasieu Parish Police Jurisdictions</td>
<td>Low Income Families</td>
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<tr>
<td>Energy Services, LLC</td>
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<tr>
<td>LaSalle Community Action Association</td>
<td>Low Income Families</td>
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<tr>
<td>Louisiana Public Service Commission</td>
<td>State Regulatory</td>
</tr>
<tr>
<td>St. Mary Community Action Agency</td>
<td>Low Income Families</td>
</tr>
</tbody>
</table>
## Caddo Community Action Agency, Inc.

**Address:**
4055 St. Vincent St.  
P.O. Box 3416  
Shreveport, LA 71103

**Counties served:**
- LINCOLN Parish  
- RAPIDES Parish  
- CADDQ Parish

**Tentative allocation:**
- $178,620.00

**Planned units:**
- 19

**Type of organization:**
- Local agency

**Source of labor:**
- Contractors

**Contact:**
- Lucrand Guidry, Executive Director  
- DUNS: 807188149

**Congressional districts served:**
- CD LA-05

## DeSoto Parish Police Jury/GCS

**Address:**
101 Franklin Street  
Mansfield, LA 71052-2046

**Counties served:**
- NATCHITOCHES Parish  
- RED RIVER Parish  
- CLAIBORNE Parish  
- WEBSTER Parish  
- BOSSIER Parish  
- DE RUSSE Parish  
- SABINE Parish  
- BIENVILLE Parish  
- MORPHOUSE Parish  
- UNION Parish

**Tentative allocation:**
- $159,287.00

**Planned units:**
- 17

**Type of organization:**
- Unit of local government

**Source of labor:**
- Agency and Contractors

**Contact:**
- Lynda Spivey, Interim Director  
- DUNS: 010491728

**Congressional districts served:**
- CD LA-04

## LaSalle Community Action Assn., Inc.

**Address:**
825 Hwy. 8  
St. James, LA 71238-0000

**Counties served:**
- EAST CARROLL Parish  
- MADISON Parish  
- WINN Parish  
- TENESAS Parish  
- RICHLAND Parish  
- JACKSON Parish  
- CONCORDIA Parish  
- LA SALLE Parish  
- ORANT Parish  
- OUACHITA Parish  
- CATAHOULA Parish  
- FRANKLIN Parish  
- CALDWELL Parish  
- WEST CARROLL Parish

**Tentative allocation:**
- $266,874.00

**Planned units:**
- 19

**Type of organization:**
- Local agency

**Source of labor:**
- Agency

**Contact:**
- Dorothy Oliver, Executive Director  
- DUNS: 166974597

**Congressional districts served:**
- CD LA-05
**WEATHERIZATION ASSISTANCE PROGRAM**

**GRANTEE INFORMATION**

<table>
<thead>
<tr>
<th>State: LA</th>
<th>Grant Number: ER0007923</th>
<th>Program Year: 2020</th>
</tr>
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</table>

**Name:** Quad Area Community Action Agency, Inc.  
**Contact:** Wallace Siblo, Executive Director  
**DUNS:** 139242085  
**Phone:** (225) 209-0780  
**Fax:** (225) 367-2630  
**Email:** quadweatherization@yahoo.com

**Address:** 45300 N. Baptist Road  
Hammond, LA 70401-4907  

**Counties served:**  
- ST. CHARLES Parish  
- WEST FELICIANA Parish  
- WASHINGTON Parish  
- JEFFERSON Parish  
- ST. BERNARD Parish  
- WEST BATON ROUGE Parish  
- PLACENTIA Parish  
- ST. HELENA Parish  
- ORLEANS Parish  
- IBERVILLE Parish  
- EAST FELICIANA Parish  
- ASCENSION Parish  
- LIVINGSTON Parish  
- ST. TAMMANY Parish  
- ST. JACQUES Parish  
- EAST BATON ROUGE Parish  
- TANGIPAHOA Parish  
- ST. JOHN THE BAPTIST Parish  

**Tentative allocation:** $788,399.00  
**Planned units:** 79  
**Type of organization:** Local agency  
**Congressional districts served:**  
- LA-01  
- LA-05  
- LA-06  
- LA-02

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**Source of labor:**  
Agency and Contractors

**Name:** St. Mary Community Action Agency  
**Contact:** Alinitra Franklin, CEO  
**DUNS:** 029172855  
**Phone:** (337) 828-5763  
**Fax:** (337) 828-5754  
**Email:** AFranklin333@aol.com

**Address:** 1407 Barrow Street  
P.O. Box 271  
Franklin, LA 70538-3514

**Counties served:**  
- AVONELLES Parish  
- CALCASIEU Parish  
- ST. MARY Parish  
- VERMILION Parish  
- ACADIA Parish  
- LAFOURCUE Parish  
- LABAYETTE Parish  
- ALLEN Parish  
- JEFFERSON DAVIS Parish  
- VERNON Parish  
- CAMERON Parish  
- ST. MARTIN Parish  
- EVANGELINE Parish  
- BEAUREGARD Parish  
- ST. LANDRY Parish  
- IBERIA Parish  
- PONTIÉ COUPEE Parish  

**Tentative allocation:** $419,813.90  
**Planned units:** 44  
**Type of organization:** Local agency  
**Congressional districts served:**  
- LA-01  
- LA-06  
- LA-03  
- LA-05  
- LA-04

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**Source of labor:** Contractors
**U.S. Department of Energy**

**WEATHERIZATION ASSISTANCE PROGRAM**

**SUBGRANTEE INFORMATION**

State: LA  Grant Number: HE0007923  Program Year: 2020

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<tr>
<th>Name:</th>
<th>Terrebonne Parish Consolidated Gov./DHHS</th>
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<tbody>
<tr>
<td>Contact:</td>
<td>Melanie Van Buron, Executive Director</td>
</tr>
<tr>
<td>DUNS:</td>
<td>(045774333)</td>
</tr>
<tr>
<td>Address:</td>
<td>809 Barrow Street, P. O. Box 6097</td>
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<tr>
<td></td>
<td>Houma, LA. 70360-4722</td>
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**Expiration Date: 02/29/2020**
V.1 Eligibility

V.1.1 Approach to Determining Client Eligibility

Provide a description of the definition of income used to determine eligibility.

Low income as per 10 CFR 440.22(a) means that income in relation to family size which: (1) is at or below 200 percent of the poverty levels, determined in accordance with criteria established by the Director of the Office of Management and Budget, or (2) is the basis on which cash assistance payments have been paid at any time during the preceding twelve months under Title IV and XVI of the Social Security Act of 1935, or (3) is the basis for eligibility for assistance under the Low Income Home Energy Assistance Program (LIHEAP), provided that such basis is at least 200 percent as established by Director of the Office of Management and Budget.

Describe what household eligibility basis will be used in the Program.

The low-income population of the State of Louisiana will be targeted for weatherization services. According to the 2010 census, the estimated number of eligible dwelling units in which the elderly reside is 557,605. The estimated number of eligible dwelling units in which a person with a disability(ies) reside is 997,342 (which equals to 22% of our current population of 4,533,372).

Waiting List Priority is given to the following at-risk households:

- elderly,
- persons with disabilities,
- households with children,
- high energy users, and
- households with a high energy burden.

Application eligibility expires 12 months from certification date if work on dwelling unit (energy audit) has not been initiated. Subgrantees are required to reverify eligibility and income at expiration in order to maintain a current certification date.

Link to Louisiana Wx Field Guides is located in section V.5.1

Describe the process for ensuring qualified aliens are eligible for weatherization benefits.

Verification of alien status will be carried out in accordance with the rules issued by the U.S. Justice Department and guidance provided by Health and Human Services (HHS) under LIHEAP. Applicants are required to provide original Social Security Cards for all household members. Agencies that are designated as local government and do not submit eligibility determination to a qualified non-profit organization are not exempt from this requirement and must conduct "status verification" for program applicants.

V.1.2 Approach to Determining Building Eligibility

Procedures to determine that units weatherized have eligibility documentation.

Policies and procedures have been developed and published by the State to ensure assisted units are not weatherized without completion of an eligibility determination. This process is based on both the household income and the dwelling unit. Property owners must provide documentation to confirm ownership of the dwelling unit to be assisted (such as tax payment receipts, copies of deed, or certain other forms). Confirmation will also be obtained verifying that the dwelling has not been previously weatherized using WAP funds, or the dwelling was weatherized prior to September 30, 1994. Documentation is maintained in individual client files by subgrantees and the Hancock Energy Software (HES) system.

Describe Rewatherization compliance.
The American Recovery and Reinvestment Act (ARRA) extended assistance to units weatherized on or before September 30, 1994. Policies and procedures have been developed, published by the state and implemented by the subgrantee to ensure that no dwelling is re-weatherized, except as provided in 10 CFR Part 440.18 (e) 2 (iii). Documentation of previously weatherized units is maintained in the client files and in the Hancock Energy Software (HES) system. The state is currently working on a means of electronically documenting clients served prior to implementation of the Hancock Energy Software (HES) system.

Describe what structures are eligible for weatherization

Eligible housing types include owner and renter-occupied single family homes, owner and renter occupied manufactured (mobile) homes, and multi-family buildings.

Describe how Rental Units/Multifamily Buildings will be addressed

Rental dwelling units, both single family and multi-unit buildings, will be eligible for weatherization assistance if:

- The subgrantee has obtained the written permission of the owner, or his agent
- Duplexes and four-unit buildings have at least 50% of the units occupied by low-income applicants
- Other multi-unit buildings have at least 66% of the units occupied by low-income applicants
- For a reasonable period of time after completion, the household will not be subjected to rent increases (unless those increases are demonstrably related to other matters other than the weatherization work performed).

The Lessors authorize and permit the Agency to undertake the Weatherization activities allowed by federal law and regulations, as determined necessary by a NEAT/MHEA energy audit established by DOE and the State.

In consideration of the above, and the mutual promises and obligations herein provided, the parties hereto agree as follows:

Service to be provided:

Upon written request, the Agency agrees to furnish the Lessor with an itemization of the services and materials to be provided, which shall be attached to and become part of this Agreement.

Consideration for Services:

From the date of execution of this Agreement, the Lessor further agrees not to raise the rental charge of the above unit(s) for one year from the date the Weatherization services are completed because of the increased value of any such dwelling unit(s) due to Weatherization assistance provided under this program. This does not preclude the increase of rent due to increased operating costs by the owner that can be documented. The Lessor further acknowledges that there are no current plans to sell or dispose of said rental unit(s) for a period of not less than one year from the date of this agreement.

Eviction:

The Lessor agrees that the Lessee of said weatherized dwelling unit shall not be evicted or involuntarily removed from the dwelling because of the Weatherization services provided under this agreement and/or because of the upgraded value of the property.

Penalty for Violation:

If the Consideration of Services and Eviction sections of this agreement are violated, the Lessor will be billed for the cost of the Weatherization services on a prorated basis for each month the unit was inhabited by the tenant. The Lessor further agrees to pay the cost of such services, within thirty (30) days of the date of such billing.

Liability:

The Agency shall not be held responsible or liable in any way for the failure to provide work, labor services or materials provided for by the terms of the Agreement due to federal, state or municipal action or regulation. Under this Agreement, the Lessor shall not be liable for injuries and damages occurring during the completion of the Weatherization activities, which do not arise as a result of the Lessor's actions, or activities on the premises.

Release of Information:
The lessee/applicant (or a person in the household) who is responsible for the payment of all costs associated with the utilities at the above address authorizes the utility vendor(s) to make the billing records available to the Agency or its designee, prior to and subsequent to the installation of weatherization measures, for the purpose of evaluating the effectiveness of the energy savings measures of the weatherization assistance services.

The lessee/applicant further grants permission for photographs and non-confidential information concerning the above unit to be used to document and/or publicize the Weatherization Assistance Program.

This agreement becomes effective on the date that the weatherization assistance work has passed a satisfactory final inspection by the Agency’s QC inspector, and is acceptable and signed by the Lessee/Applicant. It expires one year following the date of acceptance and approval of the work performed.

Subgrantees must submit multi-family projects to LHC for review and approval before work begins and costs are incurred. The State will review and submit the proposed project to the U.S. DOE Project Officer for final approval.

Describe the deferral Process

Certain housing problems may cause health and safety hazards and/or present a lack of cost effectiveness to implement weatherization measures. These problems may necessitate a deferral of weatherization services to a home. In these cases, the subgrantee must notify the applicant and assist with seeking alternative resources to correct the problems. The deferral policy is included in Section V.7 of this Application, under Health and Safety.

V.1.3 Definition of Children

Definition of children (below age): 18

V.1.4 Approach to Tribal Organizations

☐ Recommend tribal organization(s) be treated as local applicant?

If YES, Recommendation. If NO, Statement that assistance to low-income tribe members and other low-income persons is equal.

In accordance with 10 CFR §440.16(e), low-income members of an Indian tribe will receive benefits equivalent to the assistance provided to other low-income persons within the state.

V.2 Selection of Areas to Be Served

All sixty-four (64) parishes in Louisiana are served by 6 subgrantees of the LaWAP program. Subgrantees are responsible for the administration and implementation of the Weatherization Assistance Program, which serves eligible persons in their designated parishes. The funds are allocated to each subgrantee using a parish allocation formula based upon census data and the number of parishes served.

V.3 Priorities

The Louisiana Housing Corporation (LHC) will ensure subgrantees give priority for weatherization assistance to units occupied by the elderly, persons with disabilities, families with children, high residential energy users, and households with high-energy burden.

Applications are drawn from the waiting list based on priority ranking points first and then followed by the order of the oldest application certification date. Application eligibility expires 12 months from certification date if work on dwelling unit (energy audit) has not been initiated. Subgrantees are required to re-verify eligibility and income at expiration in order to maintain a current certification date.

Subgrantees are made aware that service to board members, employees, and relatives of employees may appear as a conflict of interest. Subgrantees are required to have written policies outlining how these applications are processed for weatherization services.
V.4 Climatic Conditions

Climatic conditions are largely consistent throughout the state. The average heating degree days have been supplied by the National Oceanic and Atmospheric Administration. Climate severity is measured in degree days, which are an indicator of how much fuel will be necessary on any given day to maintain comfort conditions in a home. The temperatures in the northern part of the state are slightly colder, however, the difference is not significant enough to warrant alteration of the allocation formula. Site-specific weather data is also used in the application of the NEAT-MHEA audit.

Total heating-degree days in Louisiana range from a high of 2,418 in the northwestern corner of the state to a low of 1,709 in southeastern Louisiana. The average for cooling-degree days is around 3,000 statewide. The cooling and heating-degree days have been supplied by the Louisiana State University Center for Energy Studies. Site-specific NEAT-MHEA energy audits will be completed for all single-family homes for heating system or air conditioner replacements. Red-tagged, inoperable, or nonexistent heating systems replacement or repair is allowed under Health and Safety where climate conditions warrant. Air conditioning system replacement, repair, or installation is allowed as a Health and Safety issue in the home of at-risk occupants (elderly, disabled or children); also where climate conditions warrant. Site-specific weather data is used in the application of the NEAT-MHEA audit. Domestic water heaters will be replaced on a case-by-case basis for health and safety.

V.5 Type of Weatherization Work to Be Done

V.5.1 Technical Guides and Materials

The State is committed to providing quality services and ensuring that work performed on each client's home meets the eligibility requirements of the weatherization program. The Louisiana Vax Field Guide was created and has been in use by the WAP providers in the field. This tool is aligned with DOE's Standard Work Specifications (SWS) and is being effectively used as the Louisiana Field Guide and Field Standards for both site built and manufactured housing types. The guides provide a tangible reference, demonstrating specific measures along with an illustration on installation and desired measure outcomes.

An electronic copy of the Louisiana Vax Field Guides can be found at:

https://cdn2.hubspot.net/hubfs/428006/WEATHERIZATION/RetrofittingLouisiana2018SingleFamilyApproved81418.pdf

https://cdn2.hubspot.net/hubfs/428006/WEATHERIZATION/RetrofittingLouisiana2018ManufacturedHousingApproved81418.pdf

Subcontractors have been provided a bound hard copy of the Field Guides for individual reproduction and the documents are available on the State's website or by request from LHC Weatherization staff.

All work will be performed in accordance with the DOE-approved NEAT-MHEA energy audit procedures and 10 CFR 440, Appendix A. LHC has received DOE approval to include materials outside of the approved 10 CFR 440, Appendix A, to include Energy Star certified refrigerators, Energy Star certified LED light bulbs, showerheads with a flow rate of 2.5 gallons per minute (gpm) or less, and faucet aerators with a flow rate of 1.0 gpm or less. LHC has also received DOE approval to use updated maximum life expectancies for certain Weatherization Measures within NEAT and MHEA per Attachment B of WPN 16-4.

Signed documentation will be obtained and maintained on file from all Weatherization Subcontractors, vendors, and direct hire contractors acknowledging expectations for SWS compliance and work quality. All subcontract agreements and vendor contracts will contain language that clearly documents the SWS specifications for work quality, as outlined in Weatherization Program Notice 15-4 dated October 21, 2014, Section 2. The contracts will state:

Section 2.3.1

Standard Work Specifications. The Department of Energy has established a Quality Work Plan (QWP) to establish benchmarks for energy efficiency retrofits in the Weatherization Assistance Program. The QWP defines specifications for work quality, workload training and the qualifications required for individuals performing inspections of WAP work. For Program Year 2020, all tasks performed on weatherized homes must meet the specifications, objectives and desired outcomes outlined in the approved Louisiana VAX Field Guides, Standard Work Specifications for Home Energy Upgrades (SWS) for Single Family, Multifamily and/or Manufactured Homes, as prescribed in the Weatherization Program Notice 15-4, dated October 21, 2014, and the Louisiana Weatherization Health and Safety Plan, as prescribed in the Weatherization Program Notice 17-7, dated August 9, 2017.

Every DOE WAP unit reported as a completed unit must receive a final Quality Control Inspection ensuring all work meets the minimum specifications outlined in the SWS, approved Louisiana VAX Field Guides, and all components of the Louisiana State Plan. All subcontractor agreements must include the same technical requirements and the work performed must be consistent with procedures established in LHC's standards and approved Louisiana VAX Field Guides.
All quality control inspections must be conducted by an Independent Building Performance Institute (BPI) Certified Quality Control Inspector as prescribed in the Weatherization Program Notice 15-4 and Louisiana State Plan.

LHC will accept the signature on all contracts/agreements as the mechanism used to confirm the subgrantees understand and agree to the expectations of the DOE standard work specifications requirements.

LHC will ensure that all Weatherization activities not included in the list of Categorical Exclusions activities in Section 2.6 of WPN 20-1 will have an Environmental Questionnaire (EQ)-1 prepared and submitted to DOE for review.

Every DOE/WAP unit reported as a "completed unit" must receive a final inspection ensuring all work meets the minimum specifications outlined in the SWS and all components of the Louisiana State Plan. All sub-contractors' agreements must include the same technical requirements and the work performed must be consistent with procedures established in LHC's standards and DOE approved field guides.

All quality control inspections must be conducted by an independent BPI Certified Quality Control Inspector as prescribed in the Weatherization Program Notice 15-4 and the Louisiana State Plan.

Field guide types approval dates

- Single-Family: 8/14/2018
- Manufactured Housing: 8/14/2018
- Multi-Family:

V.5.2 Energy Audit Procedures

Audit Procedures and Dates Most Recently Approved by DOE

Audit Procedure: Single-Family
Audit Name: NEAT
Approval Date: 8/12/2019

Audit Procedure: Manufactured Housing
Audit Name: MHEA
Approval Date: 8/12/2019

Audit Procedure: Multi-Family
Audit Name: No Audit/Priority List for this building type
Approval Date:

Comments

LHC received conditional DOE approval of Louisiana's energy audit procedures using the Oak Ridge National Laboratory's Weatherization Assistant software for manufactured housing units, using Manufactured Home Energy Audit Version 8.9.0.5 (MHEA), and site-built, single family homes, using the National Energy Audit Tool Version 6.9.0.5 (NEAT). The first condition was the implementation of a standardized Energy Audit Checklist to verify initial field audit data collection and audit software data entry for every WAP job. This was implemented effective January 1, 2020. LHC is in the process of completing the second condition which is the development of an Audit Inspection Process Manual. LHC's anticipated date for submission to DOE is May 31, 2020 for implementation with FY2020.

For Multi-family, LaWAP will ensure that all staff will have the required Multifamily training and any proposed weatherization of multi-family units will be approved by DOE before work commences.

V.5.3 Final Inspection

Subgrantees are required to perform an independent quality control inspection (QCI) at the conclusion of each Weatherization project. This inspection must include an evaluation of the use of the Energy Audit Tool as well as all mechanical work performed on completed dwelling units. This must occur, and be documented, before reporting the project to the State as a "completed unit". This process is to ensure that all work performed meets or exceeds the minimum specifications outlined in the SWS and LA Field Guide in accordance with 10 CFR 440.21. All supporting documentation including inspection and monitoring certifications will be maintained in
V.6 Weatherization Analysis of Effectiveness

In accordance with 10 CFR 440.14(e)(6)(i), LHC has established policies of evaluating subgrantees' performance during field monitoring, desktop monitoring, peer-to-peer training, Hancock Energy Software (HES) data monitoring and annual training assessments.

Evaluations will be based on financial and programmatic reports submitted by the subgrantee. Monitoring site visits will include, but are not limited to, assessment of program files and reports, work quality, production and expenditure reports, and hands-on training conducted by the Program Specialists.

As part of the monitoring process, LHC will institute a "risk analysis." As a result of the risk analysis, an agency may be placed on a "Watch List" and given an opportunity to improve its performance. Any agency given this designation will necessitate the need for increased monitoring. A work plan with relative timelines will be developed in an effort to improve documented performance weaknesses of subgrantees placed on the watch list. When a subgrantee’s performance fails to improve in a reasonable time period, it elevates to probationary status level for no more than one program year. At this point, LHC may implement procedures to impose sanctions such as: reduce funding or terminate the contract.

The HES system will continue to be utilized to capture program data to formulate analysis for monthly management reviews and board meetings. LHC will continue to look at upgrades and improvements needed with the weatherization component of the HES software.

V.7 Health and Safety

The Health and Safety Plan is a separate attachment to the Grant Application.

V.8 Program Management

V.8.1 Overview and Organization

State Administration

The major goal of the Louisiana Weatherization Program is to enable low-income individuals and families, particularly the elderly, persons with disabilities, and households with children the opportunity to participate in an energy conservation program. This will positively impact the program's participants by lessening the impact of the high cost of energy on their household budgets, improving household health and safety, and reducing their dependence on the Low-Income Home Energy Assistance Program (LIHEAP). The program also helps to reduce energy consumption, as part of a national goal of energy independence, by increasing the thermal efficiency of homes, reducing the greenhouse gas CO2 and providing employment opportunities in both the public and private sectors.

The Executive Director of the LHC has empowered the Program Administrator to be primarily responsible for all energy programs, which include both WAP and LIHEAP. The Program Administrator shall utilize all assigned energy staffing to ensure the most efficient and effective program administration. Current personnel will continue to administer and monitor the programs, as outlined in the approved plans; all new hires will be interviewed to assess experience and will be fully trained to ensure program continuity.
Although subgrantees will have direct access to the Hancock Energy Software (HES) team at the HES headquarters through the Helpdesk module, ownership of the HES system resides at LHC. LHC Energy personnel, along with the Corporation's IT team, will ensure the system's integrity and functionality.

The Energy Assistance Manager is responsible for ensuring compliance with federal program requirements, development of policy initiatives and general program administration. The manager reports directly to the Program Administrator.

Ongoing program monitoring of local subgrantees, invoice review, tracking of funding allocations, and investigation of client complaints is conducted by the Fiscal/Administrative Monitor. The Fiscal/Administrative Monitor will visit subgrantees at least bi-annually to monitor fiscal compliance and provide technical assistance in the areas of accounting, auditing, weatherization processes and related program activities.

The Technical Coordinator/Monitor will visit subgrantees at least bi-annually to monitor technical compliance with DOE and LAWAP SWS and provide technical assistance in the areas of the Energy Audit Tool, DOE and Louisiana SWS, Health and Safety, ASHRAE 62.2, Louisiana policy and procedures, and related program activities.

Fiscal monitoring, payment processing, and budget tracking are conducted by the LHC Accounting team.

Program decisions and administration guidelines will adhere to all federal and state regulations related to the use of current funding for the provision of weatherization services in Louisiana.

V.8.2 Administrative Expenditure Limits

If a subgrantee receives less than $350,000 of DOE funds for the Weatherization Assistance Programs, the subgrantee may receive an additional five percent for administrative funds as set forth in Sec. 440.18(d). This guidance provides direction for recipients of grants if the state has determined that such recipients require additional administrative funds to effectively implement the program measures. However, in FY 2020, no additional Subgrantee Administration funds have been included in the Budget beyond the minimum required 5% of the total FY 2020 award.

V.8.3 Monitoring Activities

The LHC utilizes a systems approach to monitoring local subgrantees for compliance with applicable regulations and achievement of performance goals for the weatherization program. The framework for the systems approach is a regulation based assessment that is criterion-referenced. The assessment includes: general organization, desk reviews, onsite visits to evaluate the subgrantees' general administration and program management systems, needs assessment, service delivery, financial management, and technical and field applications according to the DOE Standard Work Specifications, program procurement and property control system. LHC adheres to WPD 16-4 and ensures that grant funds are expended in accordance with applicable law, including regulations contained in 10 CFR 440; Federal Financial Assistance Rule 2 CFR 200; Weatherization Program Notices, and other guidance that DOE may issue.

LHC positions related to monitoring activities are as follows:

- The Fiscal/Administrative Monitor position oversees the subgrantees' program operation, processes all requests for payment, monitors performance, provides technical assistance to the subgrantees, contractors and vendors, and conducts the administrative/fiscal on-site monitoring. This person is knowledgeable with program regulations, guidance, financial management, and administrative operations.
- The Technical Coordinator/Monitor is responsible for performing the technical monitoring regarding program regulations and best practices of the WAP program. This person is a certified BPI Home Energy Professional (HEP) Quality Control Inspector (QCI) with previous experience in the Weatherization Program. This position may also provide training and Technical Assistance as needed.
- The Training Coordinator is responsible for coordinating and providing the training activities required by LHC.
- The Technical Quality Control Monitor is responsible for performing quality control inspections of the technical monitoring performed by the Grantee and ensures continued coordination of Louisiana's policies.

Part of the salaries under the WAP program will be charged to the Grantee Administration budget and WAP T/TA categories. Travel expenses to conduct monitoring activities will be charged to the Grantee T/TA budget category.

Type of Monitoring Reviews:

Administrative/Fiscal Monitoring

LHC staff will conduct an on-site Subgrantee program compliance monitoring review bi-annually in the first half and second half of the program year. Scheduling
of the visits will depend upon the subgrantee’s contractual production schedule and any previous or existing risk issues.

The review will involve using a comprehensive monitoring instrument to ensure that uniform monitoring procedures are applied to each subgrantee. This procedure provides for an analysis of the subgrantees’ performance and implementation of the WAP under the program agreement.

The areas covered in the monitoring instrument include:

- Reporting and record keeping
- Policy and procedures
- Service delivery
- Eligibility determination
- Accounting and financial management policies and procedures, including internal control systems
- Audits
- Invoicing
- Monitoring of contractors
- Personnel policies and procedures
- Property records and office inventory
- Procurement process
- Other program-related compliance area that are material to the Agreement

Fiscal Monitoring Schedule:

<table>
<thead>
<tr>
<th>Agency Name</th>
<th>Tentative 1st Monitoring Dates</th>
<th>Tentative 2nd Monitoring Dates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Quad</td>
<td>12/16/20 - 12/18/20</td>
<td>03/23/21 - 03/25/21</td>
</tr>
<tr>
<td>St. Mary</td>
<td>01/19/21 - 01/21/21</td>
<td>04/20/21 - 04/22/21</td>
</tr>
<tr>
<td>Caddo</td>
<td>02/16/21 - 02/18/21</td>
<td>05/18/21 - 05/20/21</td>
</tr>
<tr>
<td>LaSalle</td>
<td>01/13/21 - 01/15/21</td>
<td>04/27/21 - 04/29/21</td>
</tr>
<tr>
<td>DeSoto</td>
<td>02/25/21 - 02/27/21</td>
<td>05/25/21 - 05/27/21</td>
</tr>
<tr>
<td>Terrebonne</td>
<td>03/16/21 - 03/18/21</td>
<td>06/15/21 - 06/17/21</td>
</tr>
</tbody>
</table>

*Subject to change based on changes in production goals.

Technical/Field Monitoring:

LHC staff will inspect at least 5% of the completed units weatherized by each subgrantee, as well as review corresponding client files under this grant biannually in the first half and second half of the program year. LHC staff will also inspect additional “in progress” homes at each subgrantee, as needed. During the monitoring visits, LHC staff will provide technical assistance; however, additional on-site technical assistance will be provided based on need. Should LHC determine that a subgrantee under review did not use an independent QCI Inspector or if other significant issues are identified, LHC will increase the monitoring percentage from at least 5% to at least 10% of all completed units.

The areas covered in the monitoring instrument include:

- General operational policy
- Employee and subcontractor policy
- Facilities, vehicles, tools and equipment
- Energy audits
- Field Work and Quality Control
- Health and Safety for client and workers
- Personnel qualifications and training
- Client education
- Final inspections
- Denial of services

Technical/Field Monitoring Schedule:

<table>
<thead>
<tr>
<th>Agency Name</th>
<th>Tentative 1st Monitoring Dates</th>
<th>Tentative 2nd Monitoring Dates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Quad</td>
<td>September 2020</td>
<td>June 2021</td>
</tr>
</tbody>
</table>
U.S. Department of Energy

WEATHERIZATION ASSISTANCE PROGRAM (WAP)
STATE PLAN/MASTER FILE WORKSHEET

(Grant Number: EE0007923, State: LA, Program Year: 2020)

<table>
<thead>
<tr>
<th>St. Mary</th>
<th>October 2020</th>
<th>May 2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Caddo</td>
<td>August 2020</td>
<td>February 2021</td>
</tr>
<tr>
<td>LaSalle</td>
<td>October 2020</td>
<td>March 2021</td>
</tr>
<tr>
<td>DeSoto</td>
<td>November 2020</td>
<td>April 2021</td>
</tr>
<tr>
<td>Terrebonne</td>
<td>December 2020</td>
<td>April 2021</td>
</tr>
</tbody>
</table>

*Subject to change based on changes in production goals.

Monitoring Procedures:

Each subgrantee will be notified in advance of their scheduled monitoring visit. During the visit, staff will use a comprehensive monitoring tool that will ensure a thorough review of each subgrantee. At the end of the visit, the Subgrantee will be briefed on any observations, findings, and/or general comments. If Health and Safety issues are discovered which present imminent danger to people in the household, the LHC staff will require the Subgrantee to immediately resolve the issues and provide supporting evidence of resolution.

Within 30 days after each fiscal or technical visit, LHC will provide a written report to the Subgrantee describing the current monitoring assessment, which will identify any findings, concerns, recommendations, commendations, best practices and any corrective actions, if applicable. When extensive corrective actions are required, LHC will be allowed a total of 45 days following the monitoring visit to provide the written report to the Subgrantee. The Subgrantee will be required to respond within 30 days of the date of the monitoring report regarding any corrective action it has or will be undertaking. LHC will track all correspondence, including financial reviews until final resolution. If necessary, staff will conduct a follow-up monitoring visit to ensure that the corrective action has been initiated or completed. Once LHC and the Subgrantee have mutually agreed on the outcome, LHC will send a closure letter to the Subgrantee and place a copy in the monitoring file. Should both parties not reach a mutual agreement, then LHC will make assessments that may include termination of the contract.

Sensitive or significant noncompliance findings, such as waste, fraud, or abuse will be reported to DOE immediately.

Repeated unresolved findings, based on a minimum of two (2) monitoring visits at a Subgrantee, will be reported immediately to the DOE Project Officer.

The Louisiana Weatherization Field Guides, DOE Standard Work Specifications and NEAT & MHEA will be used to evaluate the effectiveness, safety, workmanship, overall appearance, and compliance with the LaWAP Standards of individual weatherization jobs.

The LHC staff will:

* Recommend reworks, re-inspections, and T&T TA visits in response to major findings and will investigate legitimate customer complaints, which may result in the agency being required to return to correct errors or omissions.

* Note concerns about agency operations on the inspection report.

* Disallow costs and/or designate the agency as high risk and place the agency on a Watch List in response to recurring major findings or persistent noncompliance with LaWAP policy.

Goals:

* Provide comprehensive verification that Subgrantees are delivering high quality Weatherization services.

* Verify compliance with applicable policies and regulations.

* Promote efficiency and effectiveness in Weatherization delivery.

* Identify areas where there are deficiencies and training and technical assistance is warranted.

* Perform technical monitoring annually as required and depending on concerns/issues found during monitoring, additional on-site visits may be conducted.

T&T TA Visits:

T&T TA visits are not official monitoring visits and do not result in the reporting of findings. LHC will visit agencies for T&T TA purposes, as often as necessary.
U.S. Department of Energy
WEATHERIZATION ASSISTANCE PROGRAM (WAP)
STATE PLAN/MASTER FILE WORKSHEET

(Grant Number: EE0007923, State: LA, Program Year: 2020)

LHC will provide guidance, training, and technical assistance to agencies in response to findings.

Financial Audit Review:

The Subgrantees' annual financial audit reports are received, tracked and logged, and are continuously monitored for findings impacting weatherization. Monitoring reviews performed onsite at the subgrantees' facility ensures that annual financial audits are performed in accordance with federal regulations. LHC will investigate all findings or issues detailed in the audit report that relate to the weatherization program. If significant issues are found, they will be investigated by LHC. LHC will document all actions taken until the issue is resolved. Significant findings identified in subgrantee financial audit reports related to weatherization program will be reported to the DOE.

Rating of Agencies:

LHC will rate Weatherization agencies' compliance with LaWAP policies, cited on the monitoring instrument, according to the following scale:

- **Good Compliance (GC):** Subgrantees will receive a rating of GC when a monitoring event does NOT identify deficiencies in compliance with evaluation standards specific to a given LaWAP policy, or when minor deficiencies are identified that are easily corrected during the monitoring event.

- **Minimal Compliance (MC):** Subgrantees will receive a rating of MC when a monitoring event identifies deficiencies in compliance with evaluation standards specific to a given LaWAP policy for the minority (less than half) of the sample items (homes, files, etc.) reviewed.

- **Noncompliance (NC):** Subgrantees will receive a rating of NC when a monitoring event identifies deficiencies in compliance with evaluation standards specific to a given LaWAP policy for the majority of the sample items (homes, files, etc.) reviewed, or when noncompliance with a "zero tolerance" issue is identified. Zero tolerance for the following areas of noncompliance includes, but is not limited to the following Health & Safety Issues:
  - CAZ (performance & documentation)
  - CO (performance & documentation)
  - Unvented Space Heaters
  - Incomplete NEAT & MHEA Audit
  - Gas leak(s) detected
  - Insulation blown over knob and tube wiring
  - Items invoiced for weatherization purposes that have NOT been installed on the home i.e., insulation, water heaters, refrigerators, range vents, etc.
  - Weatherization conducted without use of blow-out door
  - Recurring Findings/Reworks

*The ratings are established at the conclusion of a monitoring event upon completion of the Louisiana Standards Field Monitoring Form. The ratings are recorded and reported to the agency on the LHC field monitoring section in the final written field monitoring report.

Findings And Agency Discipline:

The discovery of a violation of a policy or procedure is called a finding. Findings will be documented during each regularly scheduled monitoring visit. The findings will be revisited and reexamined during the subsequent regularly scheduled monitoring visit to ensure corrective action has been taken.

Findings:

Any noncompliance with a LaWAP policy or procedure constitutes a finding. Examples of findings may include, but are not limited to the following:

- The health and safety of customers, subgrantee staff, or subcontractors, or the integrity of the building structure is threatened by work completed with LaWAP funds
- A weatherization related health or safety problem is created by, exacerbated by, or not corrected by the delivery of LaWAP services
- The omission, without appropriate authorization, of a required cost effective measure, a necessary repair, or a required health and safety repair
- Poor quality work that degrades the performance of weatherization measures or repairs
- Measures not installed according to DOE Standard Work Specification and LA Field Guide
### U.S. Department of Energy

**WEATHERIZATION ASSISTANCE PROGRAM (WAP)**

**STATE PLAN/MASTER FILE WORKSHEET**

(Grant Number: EE0007923, State: LA, Program Year: 2020)

- Major expenditure of funds on measures/materials that are not included on the appropriate energy audit or are not required in the LaWAP Field Guide
- Costs charged to a unit with no documentation or receipts to validate
- Any action or lack of action that may result in a liability that threatens LaWAP
- Work site cleanup that does not meet the satisfaction of the client
- Required energy conservation measures that are not installed
- Required health and safety measures that are not addressed
- Employees are not given adequate time to attend training
- Office or warehouse contains fire or safety hazards
- Agency files are disorganized and difficult to monitor

**Corrective Action Rework Report**

Failure to respond within 30 days from date of monitoring report will be documented and may become a finding on future monitoring reports. Once LHC receives the corrective action/rework report, including all support documentation (photos, written responses, receipts, client acknowledgement forms, etc.), LHC will issue a closure letter if the report is deemed appropriate and corrective actions have been properly implemented and/or executed.

**Agency Discipline**

Consistent or repeated violations of LaWAP standards may require LHC to impose disciplinary action upon an agency. The disciplinary action imposed will vary depending on the deficiency or deficiencies identified. Therefore, an agency may bypass the Watch List and move immediately to Probation or Termination. The disciplinary actions in order of least to most severe include:

- Placement on a “Watch List”
- Probationary Status
- Termination of Weatherization Contract

*Each agency may reserve its right to appeal any disciplinary action taken.*

**Appeals Of Findings**

Appeals should be submitted in writing within thirty (30) calendar days of receipt of notification. Agencies may appeal findings by the following sequential steps:

1. The agency may appeal finding(s) to LHC’s Program Administrator.
2. Agencies that do not agree with the decision of LHC Program Administrator may submit an appeal to the LHC Executive Director.
3. Agencies that do not agree with the decision of the LHC Executive Director may submit an appeal to the DOE’s Project Officer.

After following the sequential steps listed above, the appeals process has been exhausted.

**Watch List**

As part of the monitoring process, an agency may be placed on a “Watch List.” The purpose of the Watch List is to provide the agency with an opportunity to improve on its performance weaknesses. Placement on the watch list is typically associated with relatively minor deficiencies that warrant additional attention in order to prevent more serious issues from developing. The agency will receive written notice that it is being placed on the Watch List and will be given a reasonable time period to correct the issues. The length of time provided to correct the issues may vary depending upon the corrective action to be taken. Agencies placed on the watch list may also be monitored on a more frequent basis than the regularly scheduled monitoring visits. The reasons for placing an agency on a watch list may include, but are not limited to the following:

- When an agency fails to submit responses to findings and corrective actions/reworks in a timely manner as specified in LHC’s monitoring reports
- When an agency has recurring findings and/or corrective actions/reworks
- When LHC determines that there are administrative issues within the agency that affect its performance of LaWAP
- When LHC finds that staff and/or contractors need additional training to improve the quality of work and/or training of new staff
- When LHC determines that the average cost per unit is excessively high or low based on the State’s overall average for the program year

*If the agency has corrected the issues cited in the Watch List notification within the time period given, the agency may be removed from the Watch List and will proceed with regularly scheduled monitoring visits. However, if the agency does not comply within the time period provided, the agency may be placed on probation.*
Probation:

Depending on the severity of observations, corrective actions/reworks, and findings noted during monitoring visits, LHC may exercise its option to place the agency on probation. Additionally, if the agency fails to correct its findings and corrective actions/reworks cited in the “Watch List” notification, the agency may be placed on probation. The agency will receive written notice from the LHC administrator that it is being placed on probation and will be given a reasonable time period to correct the issues. The notice will include the cause for probation and additional instructions to assist the agency in achieving compliance. The agency will also receive additional monitoring visits and/or onsite training from LHC, if deemed necessary. The reasons for placing an agency on probation may include, but are not limited to, the following:

- When an agency has recurring findings that are not resolved within the time period provided
- When standards rated as noncompliant are recurring
- When the agency fails to comply with the corrective action that was submitted while the agency was on the watch list
- When the agency consistently fails to reach unit production goals established by its contract
- When an agency consistently exhibits a low expenditure rate

*The agency will remain on probation until the LHC has determined that the agency is back in compliance with the policies and procedures of LaWAP. If the agency does not improve within the reasonable time period given, it may be subject to termination of the contract.*

Termination:

§13.4 Procedures for Termination

§13.4.1 Notice for Termination for Cause. The Corporation shall notify the Contractor in writing of a default of the Agreement under Section 13.1. The Corporation shall provide the Contractor with ten (10) days to cure the default. If, at the end of the cure period, the Corporation determines that the violation has not been cured, the Termination of the Agreement shall be effective immediately, without further notice.

Refer to Weatherization Assistance Program (WAP) Agreement between Louisiana Housing Corporation and Subgrantee, Article 13 - Termination of Agreement; Procedures Upon Termination or Expiration of Agreement in its entirety as the governing document.

V.8.4 Training and Technical Assistance Approach and Activities

Training and Technical Assistance (TTA) activities are intended to maintain or increase the efficiency, quality, and effectiveness of the WAP program at all levels. TTA will be administered to ensure quality work, maximize energy savings, minimize production costs and improve program management. This component of the program is designed to make certain that installed field measures meet the LaWAP Standards for work outlined in accordance to the Standard Work Specifications (SWS) for Home Energy Upgrades and the Louisiana Water Field Guides.

Both DOE and LHC saw a need for increased technical training at the Grantee and Subgrantee levels in FY2018 and FY2019, specifically in regards to the Louisiana Energy Audit tools NEAT and MHEA and the SWS.

In FY2020, LHC will again procure an IREC accredited QCI training program by RFP to provide training to increase the number of BPI-certified Quality Control Inspectors working in the LA WAP and to maintain the existing knowledge, skills, and abilities in the current qualified pool. This program ensures all inspectors possess the knowledge, skills, and abilities outlined in the National Renewable Energy Laboratory (NREL) Job Task Analysis (JTA).

TTA funds will be used to train subgrantees and contractors participating in the WAP program. In making the determination to pay for contractors' training, LHC will secure a retention agreement in exchange for the training. The retention agreement will require that contractors maintain consistent employment in the WAP program for a specific amount of time, ensuring the funds expended for training are maximized. LHC developed a timeline for regular accredited training using DOE curricula designed for both new and experienced WAP workers. The TTA plan addresses two distinct categories, Comprehensive Training and Specific Training.

Comprehensive Training:

Comprehensive Training will be available to technical field staff on an annual to bi-annual schedule based upon available funds, existing credentials, and as determined by LHC. It will be administered by, or in cooperation with, a training program accredited by a DOE-approved accreditation organization for the specific TTA being taught. All new employees must attend and complete training of the JTA for the position in which the worker is employed within one year of hire. Neither Grantee nor Subgrantee staff may function unsupervised until training and certification requirements are met. The Weatherization Assistance Program Standardized Curricula will be used for the following:
U.S. Department of Energy
WEATHERIZATION ASSISTANCE PROGRAM (WAP)
STATE PLAN/MASTER FILE WORKSHEET

(Grant Number: EE0007923, State: LA, Program Year: 2020)

<table>
<thead>
<tr>
<th>JTA</th>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Crew Leader</td>
<td>Every 3 years or as determined by LHC on a case-by-case basis.</td>
</tr>
<tr>
<td>Retrofit Installer/Technician</td>
<td>Every 3 years or as determined by LHC on a case-by-case basis.</td>
</tr>
<tr>
<td>Energy Auditor</td>
<td>Every 3 years or as determined by LHC on a case-by-case basis.</td>
</tr>
<tr>
<td>Quality Control Inspector</td>
<td>Every 3 years or as determined by LHC on a case-by-case basis.</td>
</tr>
</tbody>
</table>

Specific Training:

Specific Training will be provided on an as-needed basis, as determined by monitoring reports, self-surveys, or other methods. It will be provided by accredited or non-accredited LHC personnel and/or third party training vendors. Specific Training will be conducted to address short-term, single issue training including but not limited to:

<table>
<thead>
<tr>
<th>Training Provided</th>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>On-the-job clean-pack insulation training</td>
<td>As Needed</td>
</tr>
<tr>
<td>Acute deficiencies in the field</td>
<td>As Needed</td>
</tr>
<tr>
<td>State or agency training on new field guides or program guidance</td>
<td>As Needed</td>
</tr>
<tr>
<td>Software training for administrative personnel</td>
<td>As Needed</td>
</tr>
<tr>
<td>Management or leadership training seminar</td>
<td>As Available</td>
</tr>
<tr>
<td>Weatherization conference sessions</td>
<td>As Available</td>
</tr>
<tr>
<td>Combustion Testing</td>
<td>As Needed</td>
</tr>
<tr>
<td>ASHRAE 62.2 2016</td>
<td>Annually (at this time)</td>
</tr>
<tr>
<td>Weatherization Assistant NEAT MHEA</td>
<td>Annually (at this time)</td>
</tr>
<tr>
<td>Lead Site Weatherization (LSW)</td>
<td>As Needed</td>
</tr>
<tr>
<td>Occupational Safety and Health Administration (OSHA) Standards</td>
<td>As Needed</td>
</tr>
<tr>
<td>Louisiana Health and Safety Plan (WP 17-7)</td>
<td>Annually</td>
</tr>
</tbody>
</table>

LHC will track all Grantee and Subgrantee workforce credentials via a tracking form including all Louisiana Weatherization technical personnel and the recertification requirements of those credentials. Comprehensive training will be available at a minimum of annually to avoid any potential lapses in recertification and will be monitored by LHC.

The LHC training center will be utilized for mandatory T/TA activities that are aligned with DOE curricula. Classrooms, field T/TA, and hands-on facilities will meet specific training needs of local agencies, crews, and contractors statewide. LHC will track accredited mandatory IREC trainings. Mandatory attendance will be required with penalties assessed for failure to comply.

Contractors offering Weatherization will be obligated to attend certain training courses based upon their worker classification. LHC will require all direct hires and contractors, weatherization coordinators, crew members, workers, and supervisors to attend LHC training on Health and Safety in accordance to WP 17-7. LHC will provide opportunities for WAP staff to become Building Performance Institute (BPI) Home Energy Professional (HEP) certified annually.

Field monitoring will provide an opportunity for on-site training and technical assistance and the identification of areas where more extensive training is needed. LHC will combine comprehensive analysis and assessment of monitoring, Compliance Specialist reports, field inspections and DOE Project Officer Evaluation to compare the effectiveness and the energy savings achieved to use in development of T/TA activities and priorities. The assessment of Grantee effectiveness will be closely aligned with the following:

- Compliance with DOE WAP federal program requirements
- General administration and program management systems
- Identify cost-effective improvements
- Install measures effectively and safely in accordance with the SWS and the LA Ws Field Guides
- Do harm to occupants, workers and home weatherized

LHC’s training and BPI accredited testing center has and will continue to establish partnerships with IREC-accredited DOE WAP training centers. LHC staff will ensure the training center remains current and up-to-date on curriculum ensuring efficiency and innovation in administering the WAP grant. The following ongoing activities support productive training and technical assistance:
WEATHERIZATION ASSISTANCE PROGRAM (WAP)
STATE PLAN/MASTER FILE WORKSHEET

(Grant Number: EE0007923, State: LA, Program Year: 2020)

- LHC subscribes to the leading weatherization periodicals, including Home Energy and the State and Local Energy Report
- The Monitors and Trainers remain knowledgeable of developments in the field of energy conservation and work to incorporate valuable practices and techniques into existing operations
- Training participants are solicited to provide direct feedback to LHC management through the use of evaluation forms

Pre- and Post-client education on energy conservation will be documented in client files including health and safety education corresponding to relevant issues that are identified at the home. Client Education will consist of, but is not limited to:

- EPA Renovate Right for important Lead Hazard Information for Families
- EPA Mold, Moisture, and Your Home
- What You Should Know About Space Heaters
- Manuals for Installed Mechanicals

LHC will continue to evaluate the effectiveness of the State T&TA activities and needs throughout the plan year and make adjustments where necessary to ensure effective Subgrantee and Grantee implementation of the WAP grant.

Percent of overall trainings

<table>
<thead>
<tr>
<th>Comprehensive Trainings</th>
<th>85.0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Specific Trainings</td>
<td>15.0</td>
</tr>
</tbody>
</table>

Breakdown of T&TA training budget

| Percent of budget allocated to Auditor/QCI trainings | 32.5 |
| Percent of budget allocated to Crew/Installer trainings | 32.5 |
| Percent of budget allocated to Management/Financial trainings | 10.0 |

V.9 Energy Crisis and Disaster Plan